

CalWaterFix Section 408 Schedule

	PROJECT COMPONENT	RESPONSIBLE PARTY	START	END	STATUS	COMMENTS
10.A	Section 408 Pre-Coordination through ROD					
A.1	USACE, DWR, CVFPB, and Port of Stockton conduct meetings and exchange preliminary information	USACE SPK, DWR, CVFPB, Port of Stockton			Ongoing	
A.2	USACE reviews and comments on EIR/EIS to meet 408 requirements	USACE SPK		6/16/15	In progress	USACE SPK has submitted written comments on several admin draft iterations; comments on USACE appendix are pending.
A.3	USACE reviews and comments on preliminary H&H analysis provided by DWR	USACE SPK	5/7/15	5/28/15	Done	Feedback was discussed at meetings between DWR and USACE on 5/12/15, 5/21/15, 6/4/15, and 6/10/15 and followed by e-mails from M. Nagy on 5/8/15, 5/12/15, and 5/28/15.
A.4	USACE and DWR verify project 408 permission triggers	DWR, USACE SPK	6/4/15	6/4/15	Done	Reviewed in DWR/USACE meeting on 6/4/15. NOTE: Channel margin and other habitat mitigation that may alter levees has yet to be defined.
A.5	DWR and CVFPB coordinate and submit 408 letter of intent/engagement to USACE for potential alterations to SRFCP and SJRTP	DWR, CVFPB	4/6/15	8/19/15	In progress	Start: 4/6/15 e-mail from M. Nagy providing sample letter End: Date revised to target 8/28/15 hearing per 7/30/15 DWR/CVFPB/USACE meeting
A.6	DWR and Port of Stockton coordinate and submit 408 letter of intent/engagement to USACE for potential alterations to Stockton DWSC	DWR, Port of Stockton, USACE SPK	5/29/15	Need date	In progress	Start: 5/29/15 e-mail from M. Nagy confirming need for separate letter from Port End: Pending Port commission action for tunnel design requirements
A.7	USACE issues public notice for 404/10/408	USACE SPK	Need date	Need date	In progress	Draft material for use in USACE notice to be provided by DWR with 404/10 permit application targeted for 8/6/15
A.8	DWR proposes 404/10/408 permit stages for USACE conceptual agreement	DWR	7/13/15	7/30/15	Done	Current proposal discussed with USACE on 7/30/15 is for 408 request to be single package
A.9	DWR and USACE finalize processing requirements and schedule for 404/10/408 permit stages	DWR, USACE SPK	7/31/15	9/18/15	In progress	Start: finish of A.8 End: 404/10/408 public notice date if desired by USACE, but not required
A.10	DWR and USACE continue to address EIR/EIS needs for USACE as cooperating agency through FEIS and ROD	DWR, USACE SPK	7/17/15	6/17/16	In progress	Start: RDEIR/SEIS publication date End: USBR NEPA ROD
10.B	Section 408 Formal Application Development and					
B.1	DWR/USACE establish independent review processes and select review team personnel (e.g., Safety Assurance Review)	DWR, USACE SPK	6/18/16	3/1/17	Not started	Start: Assumed after ROD/NOD End: Before start of 30% design
B.2	DWR develops and USACE reviews and approves Review Plan	DWR, USACE SPK	3/2/17	1/8/18	Not started	Assumed same as 30% design
B.3	Independent Review Team conducts external review	Review Team	1/9/18	TBD	Not started	Start at 30% design and continues work until construction is complete
10.C	Design and Supporting Technical Analyses					
C.1	DWR develops engineering design and supporting technical analyses to 30% and continues coordination with USACE	DWR	3/2/17	1/8/18	Not started	Dates from Intake Design Schedule shared at DWR/USACE meeting 7/30/15; reference supporting technical analyses in 408 Permission Checklist provided

						by M. Nagy 7/31/15
C.2	USACE reviews 30% design and supporting technical analyses	USACE	1/9/18	2/9/18	Not started	Initial review comments in 30 days of USACE receipt of 30% design and supporting technical analyses; review and coordination to continue in development of 65%
C.3	DWR develops engineering design and supporting technical analyses to 65% and continues coordination with USACE	DWR	1/9/18	10/18/18	Not started	Dates from Intake Design Schedule shared at DWR/USACE meeting 7/30/15
C.4	USACE reviews 65% design and supporting technical analyses	USACE	10/19/18	2/22/19	Not started	Initial review comments in 30 days of USACE receipt of 65% design and supporting technical analyses; assume 2 to 3 iterations over ~4 months
C.5	DWR develops engineering design and supporting technical analyses to 90% and continues coordination with USACE	DWR	10/19/18	6/18/19	Not started	Dates from Intake Design Schedule shared at DWR/USACE meeting 7/30/15
C.6	USACE reviews 90% design and supporting technical analyses	USACE SPK	6/19/19	9/20/19	Not started	Initial review comments in 30 days of USACE receipt of 65% design and supporting technical analyses; assume 2 to 3 iterations over ~3 months
C.7	DWR develops engineering design and supporting technical analyses to 100% and continues coordination with USACE	DWR	6/19/19	1/9/20	Not started	Dates from Intake Design Schedule shared at DWR/USACE meeting 7/30/15
C.8	USACE SPK reviews 100% design	USACE SPK	1/10/20	1/24/20	Not started	Start: finish of C.7 End: 2 weeks from start
10.D	NEPA					
D.1	DWR prepares admin draft supplemental NEPA for USACE/CEQA for CVFPB and Port of Stockton (if necessary; assumed with CEQA separate and in advance of NEPA)	DWR	1/9/18	4/6/18	Not started	Start: finish of C.1 End: 4 months from start
D.2	USACE reviews admin draft supplemental NEPA	USACE SPK	4/9/18	6/12/18	Not started	Start: finish of D.1 End: 65 days from start
D.3	DWR revises admin draft supplemental NEPA document per USACE SPK comments for USACE SPD review (up to 3 iterations)	DWR, USACE SPK	6/13/18	12/13/18	Not started	Start: finish of D.2 End: 6 months from start
D.4	USACE SPD reviews admin draft supplemental NEPA document	USACE SPD	12/14/18	1/18/19	Not started	Start: finish of D.3 End: 30 days from start
D.5	DWR revises admin draft supplemental NEPA document per USACE SPD comments	DWR	1/21/19	2/19/19	Not started	Start: finish of D.4 End: 30 days from start
D.6	USACE approves and issues draft supplemental NEPA document for public review	USACE SPK	2/20/19	3/13/19	Not started	Start: finish of D.5 End: 3 weeks from start Note: Assumes no HQ review, SPK performs back-check for SPD, and other required approvals are deferred to final NEPA document if not available.
D.7	DWR and USACE conduct public review	DWR, USACE SPK	3/15/19	4/30/19	Not started	Start: Friday after finish of D.6 End: exactly 45 days from start

D.8	DWR responds to comments and prepares admin final supplemental NEPA document for USACE SPK review	DWR	5/1/19	7/1/19	Not started	Start: finish of D.7 End: 60 days from start
D.9	USACE SPK reviews admin final supplemental NEPA document	USACE SPK	7/2/19	7/31/19	Not started	Start: finish of D.8 End: 30 days from start
D.10	DWR revises admin final supplemental NEPA document for USACE SPK approval and SPD review (up to 3 iterations)	DWR	8/1/19	10/31/19	Not started	Start: finish of D.9 End: 90 days from start
D.11	USACE SPD reviews admin final supplemental NEPA document	USACE SPD	11/1/19	12/2/19	Not started	Start: finish of D.10 End: 30 days from start
D.12	DWR revises admin final supplemental NEPA document per USACE SPD comments	DWR	12/3/19	12/17/19	Not started	Start: finish of D.11 End: 2 weeks from start
D.13	USACE issues final supplemental NEPA document for public review	USACE SPK	12/18/19	1/1/20	Not started	Start/End: whichever is greater between D.12 or E.2 + 2 weeks
D.14	USACE conducts public review	USACE SPK	1/3/20	2/3/20	Not started	Start: Friday after finish of D.13 End: exactly 30 days from start
10.E	Other Required Approvals for 408					
E.1	USACE initiates other required approvals (to be coordinated with 404/10 permit and tiered from or amended to authorizations already received for the project: ESA, FWCA, NHPA, air quality conformity analysis)	USACE SPK	5/8/18	6/6/18	Not started	Start: start of admin draft NEPA review (D.2) + 30 days End: 30 days from start
E.2	USACE resolves other required approvals (to be coordinated with 404/10 permit and tiered from or added to authorizations already received for the project: ESA, FWCA, NHPA, air quality conformity analysis)	USACE SPK	6/7/18	6/7/19	Not started	Start: finish of E.1 End: 1 year from start
10.F	Project Summary Report					
F.1	DWR prepares draft Project Summary Report	DWR	10/18/18	11/16/18	Not started	Start: completion of 65% design End: 30 days from start (could start earlier to coincide with finish of 65%?)
F.2	USACE reviews and provides comments on the draft Project Summary Report	USACE SPK	12/4/18	2/6/19	Not started	Start: finish of G.1 and G.2 End: 65 days from submittal of formal applications
F.3	DWR revises draft Project Summary Report	DWR	2/7/19	3/25/19	Not started	Start: finish of F.2 End: 45 days from start (recommend finish during draft NEPA public review; 15 days added to overlap NEPA review)
10.G	Sponsor Endorsement					
G.1	DWR and CVFPB coordinate and submit formal request letter and supporting documentation to USACE	DWR, CVFPB	11/19/18	11/23/18	Not started	Start: finish of F.1 End: fourth Friday of month after completion of draft Project Summary Report; dependent on CVFPB calendar (check with CVFPB to determine what items they need to make this decision -- 65% design for sure but not sure about CEQA; CEQA assumed separate and in advance of NEPA)

G.2	DWR and Port of Stockton coordinate Port and submit formal request letter and supporting documentation to USACE	DWR, Port of Stockton	11/19/18	12/3/18	Not started	Start: finish of F.1 End: first Monday of month after completion of draft Project Summary Report; dependent on Port commission calendar (check with Port to determine what items they need to make this decision -- 65% design for sure but not sure about CEQA; CEQA assumed separate and in advance of NEPA)
10.H	Section 408 Decision					
H.1	DWR/SPK prepare final 408 package and supporting documentation	DWR, SPK	1/3/20	2/3/20	Not started	Start: latest finish of C.4, D.13 , E.2, F.3, G.1, G.2 End: 30 days from start
H.2	USACE SPD reviews final 408 package	USACE SPD	2/4/20	3/4/20	Not started	Start: finish of H.1 End: 30 days from start
H.3	DWR revises final 408 package per USACE SPK/SPD comments	DWR	3/5/20	3/12/20	Not started	Start: finish of H.2 End: 1 week
H.4	USACE SPD reviews final 408 package	USACE SPD	3/13/20	4/13/20	Not started	Start: finish of H.3 End: 30 days
H.5	USACE HQ reviews final 408 package	USACE HQ	3/13/20	4/13/20	Not started	Start: finish of H.3 End: 30 days
H.6	DWR revises final 408 package per USACE HQ comments	DWR	4/14/20	4/21/20	Not started	Start: finish of H.4 and H.5 End: 1 week
H.7	USACE HQ issues 408 decision to USACE SPK	USACE HQ	4/13/20	4/13/20	Not started	Start/End: H.5 (should follow H.6 and require backcheck?)
H.8	USACE SPK writes 408 letters of permission	USACE SPK	4/13/20	4/13/20	Not started	Start/End: latest finish of H.7 or C.8
H.9	CVFPB conveys permission to DWR/Port of Stockton approves project, if necessary	CVFPB, Port of Stockton	4/14/20	5/31/20	Not started	Start: H.8 End: assumes staff review and noticing for next scheduled Board/commission meeting